

**UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF NEW YORK**

UNITED STATES OF AMERICA,

v.

DaSHAWN BRAZIER,

Defendant.

12-CR-235-A

DECISION AND ORDER

On September 12, 2016, the Court sentenced the Defendant to 140 months' imprisonment and five years' supervised release following his plea of guilty to one count of engaging in a RICO conspiracy and one count of conspiring to possess with intent to distribute, and to distribute, 280 grams or more of cocaine base. The Defendant did not appeal his conviction or sentence, nor has he filed a petition for a writ of habeas corpus pursuant to 28 U.S.C. § 2255. The Defendant has, however, filed a *pro se* motion for free transcripts of his plea and sentencing proceedings. See Docket No. 898.

The Defendant brings his motion pursuant to 28 U.S.C. § 753(f). In relevant part, § 753(f) provides:

Fees for transcripts furnished in proceedings brought under [28 U.S.C. § 2255] to persons permitted to sue or appeal in forma pauperis shall be paid by the United States . . . if the trial judge or a circuit judge certifies that the suit or appeal is not frivolous and that the transcript is needed to decide the issue presented by the suit or appeal.

For the reasons discussed below, the Defendant's motion is not yet ripe and is therefore denied without prejudice.

DISCUSSION

As noted, the Defendant has yet to file a § 2255 petition challenging his conviction or sentence. Instead, he states that he needs his plea and sentencing transcripts “for inclusion in the record” of his anticipated § 2255 petition. Docket No. 898 at 2.

The Defendant’s request for free transcripts is premature. The Second Circuit has made clear that “the plain language and necessary operation” of § 753(f) requires that “a motion for a free transcript . . . is not ripe until a § 2255 motion has been filed.” *United States v. Horvath*, 157 F.3d 131, 132 (2d Cir. 1998). This is so for two reasons. First, § 753(f) refers to “proceedings *brought* under section 2255.” (emphasis added). That, of course, has not yet happened in this case; the entire purpose of the Defendant’s motion is to aid him in *bringing* a § 2255 proceeding—not to assist him in pursuing a § 2255 petition that he has already “brought.” And second, § 753(f) requires a judge’s certification “that the transcript is needed to decide the issue presented by the suit or appeal.” That, too, cannot happen until the Defendant files a § 2255 petition. Without such a filing, the Court has no way to evaluate “the issue presented” by the Defendant’s petition. See *Horvath*, 157 F.3d at 132. Section 753(f) thus clearly prevents the Defendant from obtaining free transcripts until he files a § 2255 petition.¹

The Court notes that, even without a transcript, the Defendant is not foreclosed from filing his § 2255 petition. A § 2255 petition “need only set forth the movant’s

¹ Section 753(f) also provides that, to qualify for a free transcript, the Defendant must be proceeding *in forma pauperis*. The Defendant, however, has not yet filed an application to proceed *in forma pauperis*. A copy of such form will be mailed to the Defendant, together with a copy of this Decision and Order.

claims of error generally.” *Id.* (citing Rule Governing Section 2255 Proceedings 2(b)). As the Second Circuit has observed, “[p]resumably, a movant who can plead facts sufficient to obtain certification under § 753(f) will be able to satisfy the non-onerous pleading standards for a § 2255 motion.” *Id.* at 133. See also *id.* (noting that the Second Circuit’s interpretation of § 753(f) “dovetails with the standard for summary dismissal because ‘the motion and the files of the case’ will not ‘conclusively’ defeat a § 2255 motion if a transcript is needed to decide a non-frivolous issue presented by the motion”) (quoting 28 U.S.C. § 2255(b)).

CONCLUSION

For the reasons stated above, the Defendant’s motion for free transcripts of his plea and sentencing proceedings is **DENIED** as unripe;

It is hereby **ORDERED** that a copy of this Decision and Order, together with an application to proceed *in forma pauperis*, and a copy of the Defendant’s Judgment and Commitment Order (Docket No. 839), be mailed to the Defendant.

SO ORDERED.

Dated: January 21, 2017
Buffalo, New York

s/Richard J. Arcara
HONORABLE RICHARD J. ARCARA
UNITED STATES DISTRICT JUDGE

